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# Hidden in the blow - a matrix to characterise cetaceans' respiratory microbiome: Short-finned pilot whale as model species

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#### 32 Abstract

Cetaceans are key sentinel species of marine ecosystems and ocean health, being a 33 34 strategic taxonomic group to evaluate the well-being of aguatic habitats and to detect harmful environmental trends. Respiratory diseases are among the main causes of 35 death in these animals, so the identification of the microbiome community existent in 36 their exhaled breath condensates (EBCs), i.e. blows, has been proposed as a key 37 biomarker for assessing respiratory health. Yet, to characterise microbiomes related 38 39 to these animals' respiratory tract and use them as a proxy for health status, it is 40 necessary to develop baseline data on the microorganisms associated with cetaceans. Here, the short-finned pilot whale (SFPW, Globicephala macrorhynchus) was used as 41 42 a model species to validate the most suitable primer set to explore the prokaryotic 43 diversity of the cetaceans' respiratory tract. DNA extracted from blow samples (n = 12) of island-associated animals off Madeira Island was sequenced to amplify both V3-V4 44 and V4-V5 hypervariable regions of the 16S rRNA gene, using the same sequencing 45 platform (Illumina MiSeq). Independently of the primer set used, all blows shared 46 Actinobacteria, Bacteroidetes, Firmicutes, and Proteobacteria phyla in their 47 composition. V3-V4 resulted in higher diversity of taxa with relative abundance above 48 49 1%, whereas the V4-V5 primers captured a higher number of microbial Amplicon Sequence Variants, detecting the microbial rare biosphere with pathogen potential. 50

Additionally, it captured more efficiently the core microbiome. Thus, this study provides a detailed characterization of SFPW respiratory-associated microbial communities, also strengthening the idea of sociality influencing microbiome composition in the respiratory tract. Moreover, it supports the use of EBCs as a relevant biomarker for the physiological state of the airways in free-ranging cetaceans.

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57 **Keywords:** blow sampling, exhale breath condensate, health status, metabarcoding, 58 respiratory disease, short-finned pilot whale.

## 5960 Introduction

As keystone species, cetaceans play vital ecological functions, considering their role 61 as nutrient vectors, position in the food chains and use as bioindicators of 62 63 environmental health (Ballance, 2018). Currently, multiple global threats pose a 64 serious concern to the conservation of these aquatic mammals (Evans, 2018), 65 affecting individual health and ultimately compromising population viability (Nicol et al., 2020). Addressing their impacts on cetacean populations is therefore crucial yet 66 67 challenging, as many species are elusive and inhabit remote habitats with wide distributions (Parsons et al., 2015). 68

69

70 Among the primary causes of death observed in these marine mammals, respiratory 71 tract infections make a substantial contribution (Díaz-Delgado et al., 2018; Cuvertoret-72 Sanz et al., 2020). Therefore, the identification of the microbiome community existent 73 in their exhaled breath condensates (EBCs), i.e. blows, has been proposed as a key biomarker for assessing respiratory health and inform conservation management 74 75 (Acevedo-Whitehouse et al., 2010). Cetacean blow is a relatively unexplored biological 76 matrix (de Mello & De Oliveira, 2016). It is mostly composed of hormones, as well as respiratory microbes, a range of metabolites, and substances related to inflammation 77 78 and the immune system (Hunt et al., 2013). Several studies have reported important 79 differences in the microbial communities detected in the blow of cetaceans when compared to those occurring in the external environment, thus being considered 80 specific of cetaceans' respiratory tract (Pirotta et al., 2017; Geoghegan et al., 2018; 81 Vendl et al., 2021). Within this material, potential specific microbial pathogens have 82 been identified (Acevedo-Whitehouse et al., 2010), highlighting the value of using the 83 EBC collection and characterization as a method for monitoring respiratory microbial 84 85 communities in cetaceans (Lima et al., 2012).

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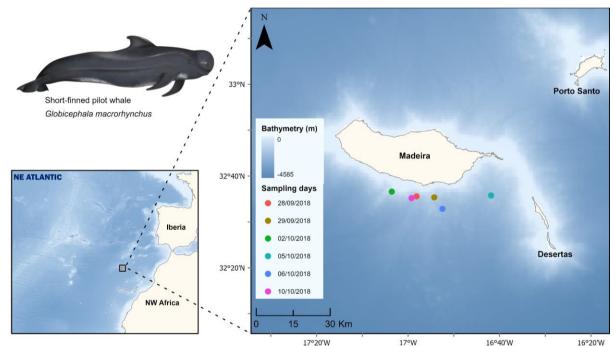
Previous research on the airway microbiota of cetaceans resorted to the analysis of 87 88 blow samples, with different sampling methodologies, DNA extraction and 89 amplification, targeting different hypervariable gene regions, some of these using a metabarcoding approach. Nevertheless, the majority of data accessible on cetacean-90 associated microbiome, namely pathogens, diseases, and parasites, come from 91 captive, stranded, sick, or injured individuals, which cannot be considered 92 93 representative of the free-ranging populations (Johnson et al., 2009; Acevedo-Whitehouse et al., 2010; Lima et al., 2012). Therefore, knowledge of the cetaceans' 94 95 respiratory microbiome from free-ranging individuals is limited. Here, we focus on short-finned pilot whales (Globicephala macrorhynchus; SFPW), a thoroughly studied 96 97 species due to its global distribution, abundance and propensity to mass strandings (Betty et al., 2023). With a strong social structure (Alves et al., 2013), SFPW 98 represents an interesting case-study of how social complexity might shape 99 microbiome composition. 100

102 To the best of our knowledge, there are no published studies on the SFPW respiratory microbiome. In addition, there are also no previous works on the topic for other 103 cetacean species that have compared the amplification targeting different 104 hypervariable gene regions using the same methodology on the same samples. In 105 light of this, the present study addresses the respiratory microbiome of free-ranging 106 107 cetaceans, using SFPW as a model species. The main goal is to provide a network of consistent microbiome core taxa of the SFPW blow, by comparing V3-V4/V4-V5 108 hypervariable regions of 16S rRNA gene. The expected outputs will serve as a 109 110 baseline to set a working and optimised methodology for cetacean health monitoring, from sample collection to laboratory analysis. 111

- 112 113 **Methods**
- 114 Blow Sampling

Blow sampling was conducted in September and October 2018, during at-sea campaigns in the southern waters of Madeira Island, Portugal, targeting SFPW (Fig. 1). Besides blow collection, the natural behaviour (travelling, resting, feeding or socialising), age class (following Betty et al., 2023; Aguilar de Soto & Alves, 2023), and the number of individuals sampled in the group (Supplementary Table 1) were recorded.

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Figure 1 - Blow sampling locations and dates in the Madeira Archipelago, with illustration of the short-finned pilot whale (*Globicephala macrorhynchus*).

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Sample collection was carried out using a PERFORMAgene<sup>™</sup> PG-100 swab collection 126 kit (DNA Genotek®). This kit was attached to an extendable 5 metre aluminium pole 127 and used as a sampling device to collect the blow. When the animals surfaced to 128 129 exhale near the boat, the blow collector device was positioned about 40 to 50 cm above the blowhole into the exhaled plume to collect the droplets in the blow. 130 Simultaneously, the sampled animals were photographed to be compared with the 131 (OOM/MARE-ARDITI) Madeira's photographic-ID catalogue of the species following 132 133 Alves et al. (2020) to confirm if they belong to the island-associated population of shortfinned pilot whales in Madeira (i.e., regularly captured in different seasons throughout
 the years; detailed in Alves et al., 2013, 2020) or if they were transient.

- 136
- 137 DNA Extraction

DNA extraction of blow samples was performed using the QIAmp® DNA Mini Kit (QIAGEN), following the manufacturer's instructions. An additional sample concentration step in an Eppendorf Concentrator Plus<sup>™</sup> was added to increase the concentration of the extracted DNA from blow samples. A Qubit<sup>™</sup> 3 Fluorometer with a Qubit<sup>™</sup> dsDNA High Sensitivity (HS) assay kit (Invitrogen<sup>™</sup>) was used for DNA quantification.

- 144
- 145 PCR Amplification, Library preparation and Sequencing
- 146 Samples were prepared for the amplification of the 16S rRNA gene hypervariable V4-
- 147 V5 region (≈412 bp), using specific primers 515F-Y/926R (Parada et al., 2016). The
- 148 V3-V4 region of the 16S rRNA bacterial and archaeal gene was amplified using the
- 149 341F and 806R primers (Takahashi et al., 2014).
- 150

151 Amplification of both regions was performed through high-throughput sequencing. Detailed description of the protocol is reported in Ribeiro et al. (2018). Briefly, KAPA 152 153 HiFi HotStart PCR Kit was used in the first PCR reaction following manufacturer suggestions. Indexes and sequencing adapters were added to the target amplicon in 154 155 the second PCR reaction. The amplified products obtained were purified and normalised with SegualPrep 96-well plate kit (ThermoFisher Scientific, USA). Pair-end 156 sequencing was carried out in the Illumina MiSeg® sequencer with the V3 chemistry 157 158 (Illumina, Inc., San Diego, CA, USA) at Genoinseq laboratories (Cantanhede, 159 Portugal).

- 160
- 161 Bioinformatic Analysis
- 162 Upstream Analysis

The "DADA2" software package (v.1.20) (Callahan et al., 2016) on R studio (v.4.1.1) 163 164 was used to process the FASTQ files obtained after Illumina MiSeg sequencing. Initially, the raw sequences were quality-filtered. Raw reads were truncated at 275 bp 165 166 and 215 bp for forward and reverse sequences, respectively – the position where the 167 final sequence numbers were higher. Afterward, the trimmed sequences were dereplicated, denoised, and merged. Sequences were then processed to obtain the 168 169 Amplicon Sequence Variants (ASVs) table for taxonomic resolution, increased precision, and reproducibility. In the ASVs, reads represent differences of one 170 171 nucleotide (Callahan et al., 2017). Additionally, chimeric sequences were identified 172 and excluded. To determine the taxonomic classification of each ASV, the Naïve 173 Bayes classifier was used against the SILVA database v132 (Quast et al., 2013). The 174 upstream analysis performed for the primer set comparison pipeline followed Fadeev 175 et al. (2021).

176

#### 177 Downstream Analysis

178 The ASV counts and taxonomy tables from the upstream analysis, together with the

179 metadata table containing the sample information (type of sample, species, primer set,

sample day), were used as input for the "*phyloseq*" R package (v.1.36) for downstream
 analysis (McMurdie & Holmes, 2013). Summarily, "*phyloseq*" analyses and graphically

displays complex phylogenetic sequencing data that has already been clustered into
ASVs. The ASVs that were taxonomically unclassified at phylum rank or were not
assigned to bacterial or archaeal lineages, and the undesirable lineages, such as
"Chloroplast", "Eukaryota" and "Mitochondria", were excluded from further analysis.

- 187 The distribution and diversity of the prokaryotic community across the different used 188 primer sets were investigated. Alpha diversity was analysed for different subsets of samples by calculating four different indexes, also using "phyloseg" package: 189 190 Observed and Chao 1 for the estimation of unique ASVs abundance, and Shannon 191 and Inverse Simpson as species diversity measures. Differences in alpha diversity were tested using the Wilcoxon signed rank test, considering a level of significance of 192 0.05. Moreover, the beta diversity was analysed using the "vegan" package and a Non-193 194 metric MultiDimensional Scaling (NMDS) plot, based on Bray-Curtis dissimilarity (phyloseg" package). This measure is a statistical index used to quantify the 195 compositional dissimilarity between two different sites, depending on the two 196 197 communities' counts of shared and non-shared specimen (Bray and Curtis, 1957). To 198 test if the set of primers used had a significant effect on the prokaryotic communities 199 existent in the different blow samples, a PERMANOVA statistical test was 200 implemented. Therefore, two hypotheses were generated: H<sub>0</sub>: The use of different 201 primer sets does not influence the distribution of prokaryotic communities; H1: The use 202 of different primer sets influences the distribution of prokaryotic communities. The level 203 of significance was set to 0.05.
- 204

186

The microbial communities' taxonomic composition was evaluated by creating taxonomy bar plots. The distribution of prokaryotes taxa across the samples was analysed at four taxonomic levels: phylum, class, family, and genus. Taxonomic distribution plots were performed using several R-packages, namely, "*phyloseq*" (v.1.36) (McMurdie & Holmes, 2013), "*ggplot2*" (v.3.3.6) (Wickham, 2009), "*tidyverse*" (v.1.32) (Wickham, 2009), and "*scales*" (v.1.20) (Wickham et al., 2019).

- 211
- 212 Core Microbiome

With the aim of identifying the common set of microbial taxa originated for each of the datasets, the core microbiome was calculated using the "*phyloseq*" package "*microbiome*" (Leo Lahti, Sudarshan Shetty et al., 2017). The core microbiome was determined using the "*core\_members*" function, considering a detection threshold of 0.001 and a prevalence of 50% in all blow samples. The comparative analysis of the primers used to identify this core microbiota was then carried out using a NMDS plot, based on Bray-Curtis dissimilarity.

#### 220 221 **Results**

## 222 Blow Sampling Characterization

A total of 12 blow samples were collected from six sampling events (Fig. 1), of which nine were from individual animals and three from a pool of animals (Supplementary Table 1). The photographic-ID comparison showed that the nine individual samples corresponded to seven individuals, i.e., two samples were obtained from the same animals in two separate sampling events (Supplementary Table 1). The photographic-ID also showed that all sampled individuals were island-associated animals.

229

230 Sequencing Output

231 After the sequencing process, a total of 685 692 reads (with a mean of 57 141  $\pm$  7 920.8 reads per sample) was obtained for the V3-V4 dataset; and 862 763 for the V4-232 V5 (with a mean of 71 896.9  $\pm$  12 990.5 reads per sample). After the quality filter steps, 233 234 the V3-V4 dataset showed a lower decrease in the number of sequences throughout the workflow compared to V4-V5, with 60.4  $\pm$  5.7% and 48.5  $\pm$  7.7% of sequences 235 retained per sample, respectively. Therefore, the non-target sequences were 236 237 eliminated. Consequently, the final output was 415 340 sequences in the V3-V4 dataset that were assigned to 2 764 ASVs (with a mean of 34 611.7 ± 6 228.9 reads 238 239 per sample) and 417 733 sequences in the V4-V5 dataset that were assigned to 3 665 240 ASVs (with a mean of 34 811.1 ± 7 554.7 reads per sample). The raw sequence data from this work is deposited to the European Nucleotide Archive (ENA) (Study 241 accession number PRJEB72700). 242

243

#### 244 Alpha and Beta diversity

Alpha diversity metrics varied in relation to the set of primers used (Fig. 2). The 245 Observed ASVs and Chao1 had lower minimum/maximum and mean values in the 246 247 V3-V4 dataset relative to values of the V4-V5 dataset. On the other hand, alpha indices (Shannon and Inverted Simpson) values were higher for the V3-V4, although with an 248 evident high standard deviation. For the V4-V5 dataset, despite lower values for these 249 250 aforementioned diversity indices, there was less variation within samples (lower standard deviations). In the V4-V5 dataset, sample Gma\_03 was an outlier, presenting 251 252 highest values in Observed and Chao1 indexes. The Shannon and Inverse Simpson 253 indexes demonstrated a more considerable ASVs diversity in the V3-V4 region. The Wilcoxon Signed-Rank Test - used to compare the blow community richness for the 254 Observed, Chao1, and Inverse Simpson measures - was significantly different 255 256 between the two primers sets (see Supplementary Table 2), with ca. 32% more bacterial ASVs in the V4-V5. Statistically significant differences were not found for the 257 Shannon index. 258

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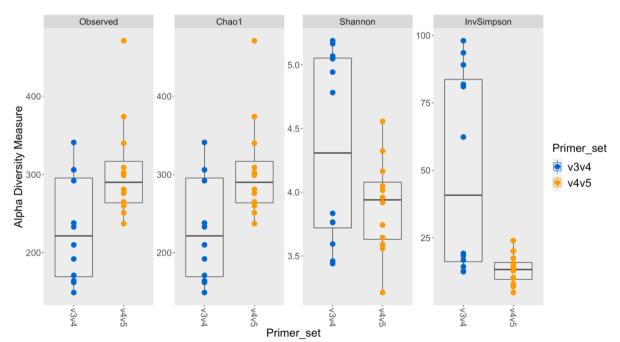
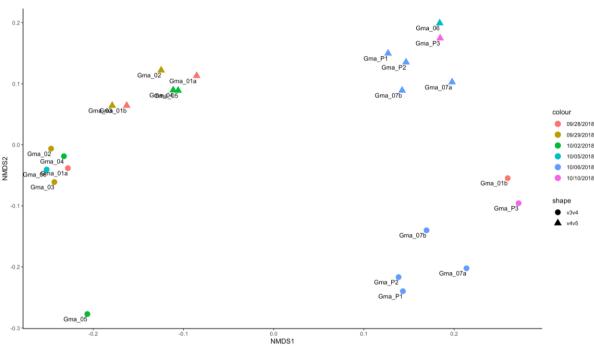




Figure 2 - Observed richness, Chao1, Shannon, and Inverse Simpson indices of alpha diversity among blow microbiome. Different primer sets were represented by different colours (blue for V3-V4 and orange for V4-V5).

265 The NMDS plot for beta diversity of microbial communities depicted a separation of the samples based on the used primer set, with 4 distinct main clusters, two for each 266 primer set (Fig. 3). One of the clusters of the V3-V4 dataset was composed of samples 267 Gma 01a, 02, 03, 04, 05, and 06, and the other cluster with samples Gma 01b, 07a, 268 07b, P1, P2, and P3. In the V4-V5 dataset, one of the clusters was composed of 269 270 Gma 01a, 01b, 02, 03, 04, and 05 samples, and the other one of Gma 06, 07a, 07b. P1, P2, and P3. Blow samples from individuals that were travelling together clustered 271 272 in the same group for the V4-V5 dataset, which was not the case for V3-V4 dataset. 273 The most similar values were obtained for the samples of the individuals Gma 04 and 05 (sampled in the same day and in the same group of animals), within the V4-V5 274 275 dataset.





277

Figure 3 - Non-metric multidimensional scaling (NMDS) of the microbiota found in short-finned pilot whale blow samples, in merged V3-V4 and V4-V5 datasets, based on Bray-Curtis dissimilarity. The primer sets used are represented by different shapes (circles for V3-V4 and triangles for V4-V5) and the days of sampling by different colours.

283

Regarding the PERMANOVA test results, the primer set used influenced the distribution of prokaryotic communities (p=0.006). Specifically, approximately 25% ( $R^2=0,249$ ) of the variation of the prokaryotic community distribution was explained by the use of different primers (Supplementary Table 3).

288

## 289 Blow taxonomic characterization

The composition of the blow prokaryotic communities was investigated on the two datasets (V3-V4 and V4-V5) to analyse the differences and commonalities between the use of the two primer sets. Considering the richness, a total of 1 890 bacterial and 38 archaeal taxa were recorded in the V3-V4 dataset, whereas V4-V5 recorded 2 327 bacterial and 30 archaeal taxa. Taxonomic analysis at phylum level (Fig. 4A) revealed a core of 4 most abundant phyla identified by the two datasets: Actinobacteria, 296 Bacteroidetes, Firmicutes, and Proteobacteria. In the V3-V4 dataset, the prokaryotic community was distributed across 15 different phyla, 7 of them had more than 1% of 297 the total proportion of all the ASVs sequences of this dataset. This dataset was 298 dominated by sequences of the phylum Proteobacteria (47%). On the other hand, V4-299 V5 was distributed within 21 phyla, with only 5 phyla with more than 1% of the total 300 301 proportion of the V4-V5 dataset. This dataset was dominated by Proteobacteria (37%) 302 and Actinobacteria (34%). Cvanobacteria was present in more samples of the V4-V5 303 dataset.

304

305 At the Class level (Supplementary Fig. 1), the V3-V4 dataset included 37 different classes (11 of them were highly abundant, with >1% of the sequence reads), and it 306 307 was dominated by Gammaproteobacteria (31%), followed by the same proportion of 308 Actinobacteria and Alphaproteobacteria (15%). The V4-V5 dataset was dominated by the Actinobacteria class (34%), with low abundance of the majority of the sequence 309 reads (representing <1%) (30 out of 36). The V4-V5 dataset was mainly composed of 310 6 classes (Actinobacteria, Gammaproteobacteria, Alphaproteobacteria, Bacilli, 311 312 Bacteroidia, and Oxyphoyobacteria), whereas the V3-V4 dataset had in its 313 composition the same taxa plus Gracilibacteria, Campylobacteria, Saccharimonadia, and Clostridia. Within the classes Actinobacteria, Alphaproteobacteria, Bacteroidia, 314 315 Clostridia, Gammaproteobacteria. Gracilibacteria. Parcubacteria, and Saccharimonadia, there were many differences between datasets in the number of 316 observed ASVs. These classes belong to the top 10 of both datasets, except Clostridia 317 318 (position 11 of V3-V4 dataset), Gracilibacteria (position 13 of V4-V5 dataset), and Saccharimonadia (position 27 of V4-V5 dataset). 319

320

321 Regarding the family level (Supplementary Fig. 2), the prokaryotic community was distributed within 108 different families, and 24 families had more than 1% of the total 322 dominated by 323 proportion. This dataset was sequences of the family 324 Pseudomonadaceae (18%), whereas V4-V5 was distributed by 153 families. and only 17 families with more than 1% of the total proportion. Despite the fact that the V4-V5 325 dataset presented more families, most of them had a relative abundance <1%. 326 Propionibacteriaceae (25%) was the predominant family of this dataset. A comparison 327 328 between the different datasets regarding the sequence proportion of the major 329 taxonomic families identified can be found in the supplementary material 330 (Supplementary Fig. 3).

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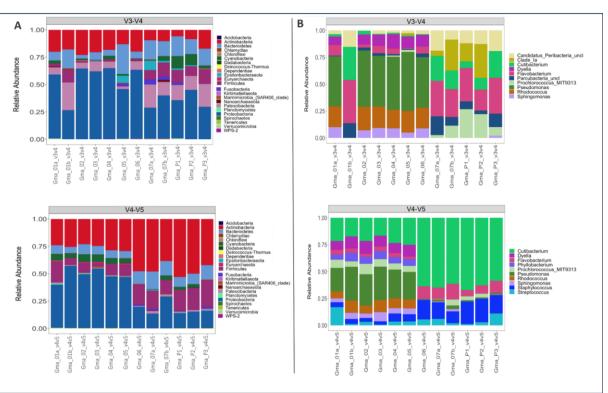
Concerning the genus level (Fig. 4B), the V3-V4 dataset detected 24 genera (with 332 333 more than 1% of the total proportion of the sequences) associated with 128 different 334 ASVs. This dataset was dominated by sequences of the genus *Pseudomonas* (18%) with only 3 ASVs associated with this genus. On the other hand, the V4-V5 dataset 335 had only 14 genera (with more than 1% of the total proportion) associated with 79 336 337 different ASVs and dominated by Cutibacterium (25%). The top-10 genera included some taxa that are common to both datasets: Cutibacterium, Dyella, Flavobacterium, 338 Prochlorococcus MIT9313, Pseudomonas, Rhodococcus, and Shpingomonas. In the 339 340 V3–V4 dataset, the ASVs were merged into 104 different genera and 53 lineages that 341 were affiliated to higher taxonomic ranks. In the V4-V5 dataset, the ASVs were 342 merged into 184 different genera and 68 lineages that were affiliated with higher 343 taxonomic ranks. Overall, at this level, 110 (36.8% of the total) lineages were observed in both datasets. Considering all the taxa, in the V3–V4 dataset, 47 (15.7% of the total) 344

lineages were absent from the V4–V5 dataset. Moreover, in the V4–V5 dataset there
were 142 lineages (47.5% of the total) that were absent from the V3–V4 dataset.

347

At the family and genus levels, it is possible to observe two clusters of samples in terms of composition: Gma\_01a, 02, 03, 04, 05, 06 and Gma\_01b, 07a, 07b, P1, P2, P3 in V3-V4; Gma\_01 to 05 and Gma\_06 to P3 in V4-V5 (Supplementary Fig. 2 and 4B, respectively).

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Figure 4 - Relative abundance of prokaryotic phyla (A) and top 10 genera (B) identified across the SFPW blow samples, by V3-V4 (on the left) and V4-V5 (on the right) datasets.

- 357
- 358 Core microbiome

The NMDS for the core microbiome analysis demonstrated an obvious separation of the samples based on the primer set used, being possible to differentiate 3 distinct main clusters, 2 originated from the V3-V4 dataset and a unique cluster for the V4-V5

- 362 dataset (Fig.5).
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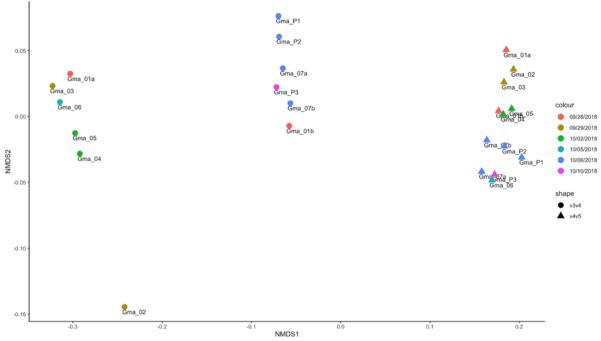


Figure 5 - Non-metric multidimensional scaling (NMDS) of the core microbiota found in short-finned pilot whale blow samples (Genus Level), in merged V3-V4 and V4-V5 datasets, based on Bray-Curtis dissimilarity. The primer sets used are represented by different shapes (circles for V3-V4 and triangles for V4-V5) and the days of sampling by different colours.

### 370

## 371 Discussion

## 372 Sampling methodology

The main goal of non-invasive sampling techniques for cetaceans is to avoid disturbing, injuring, or negatively influencing the sampled individual during sample collection (Robinson & Nuuttila, 2020). Apparently, none of the SFPW sampled here were negatively impacted by the sampling procedure used. This is based on no evident changes in the animals' swimming patterns or anomalous behaviour during sampling, suggesting that the blow-sampling method causes minimal distress to the individuals.

The sampling kit used (PERFORMAgene) has been employed in previous studies, 380 applied to different animals such as livestock (Neary et al., 2014) and companion 381 animals (Sacco et al., 2017; Colpitts et al., 2022). This kit is suitable for genotyping, 382 sequencing, parent-child appraisal, and biobanking. Until now, no studies in the 383 384 literature have reported the use of the PERFORMAgene kit for sampling the blow of free-ranging cetaceans. In the present study, this kit was tested for the first time for 385 386 the collection of blow samples from SFPW. DNA was extracted from the swab of the PERFORMAgene kit, and although low concentrations were obtained, the method 387 388 allowed for the employment of metabarcoding analysis.

389

## 390 Primers set comparison

In this study, the prokaryotic community harboured in the respiratory tract of SFPW was described for the first time, and a comprehensive comparison of the performance of two different 16S primer sets' (V3-V4 and V4-V5) was conducted. Both hypervariable 16S regions are recommended in the literature for assessing marine microbial diversity (Klindworth et al., 2013; Walters et al., 2015).

The use of different hypervariable regions, as well as different types of samples, 397 storage, methods of DNA extraction, and 16S databases, can influence the obtained 398 data and the interpretation of the results. In McNichol et al. (2021), that compared 399 commonly-used primers, with >300 million rRNA gene sequences retrieved from 400 marine metagenomes around the world, the best-performing primers, when comparing 401 402 predicted median coverage to Bacteria and Archaea of 16S rRNA, were 515Y/926R (regions V4-V5) and 515Y/806RB (region V4). The V4–V5 regions are currently 403 404 recommended to target marine microbes (including both bacteria and archaea) 405 (Walters et al., 2015). On the other hand, prior studies analysing the blow microbiota of cetaceans have amplified the regions V3-V4 (Bik et al., 2016; Robles-Malagamba 406 407 et al., 2020). Specifically, Centelleghe et al. (2020) used the primers 341F and 806R 408 (as in this study) to amplify the V3-V4 regions of the 16S rRNA bacterial and archaeal 409 genes. One of the aims of the present research was to assess the advantages and limitations in the amplification of both V3-V4 and V4-V5 regions, regarding prokaryotic 410 diversity and richness, without the influence of technical biases (same experimental 411 412 and bioinformatic processes on the same samples). Moreover, with this work we also 413 intended to select an optimal PCR primer set (or different applications of each primer set), that can be applied to the study of the microbiome of cetacean blow samples. 414 415 The results denote that the different hypervariable regions tested provide different degrees of resolution in taxonomic identification, resulting in different estimates of 416 417 microbial community composition.

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419 Alpha diversity measures captured by each primer set differed significantly. Our results 420 showed that the V4-V5 dataset captured more abundance in unique ASVs (higher 421 values in the Observed ASVs and Chao1 measures) and subsequently, more identified taxa. On the other hand, V3-V4 resulted in higher values for the applied 422 423 alpha diversity indexes (higher values in Shannon and Inverse Simpson measures). This is probably explained by the fact that these indexes take into account since the 424 425 used indexes only take into account unique ASVs in relative abundance above 1%. 426 Therefore, although V4-V5 had better results in capturing higher prokaryotic taxa richness. Thus, data generated with this primer set reflects better the prokaryotic 427 428 community structure of the blow. Beta diversity revealed that samples were grouped 429 according to the different primer sets used. Despite the separation of the samples in different clusters in relation to the primer set used, the V4-V5 dataset appeared to 430 431 better represent the distribution of prokaryotic communities. In this dataset, individuals that were travelling together when they were sampled (namely Gma\_2/Gma\_3, 432 Gma\_4/Gma\_5 and Gma\_7/Gma\_P1/Gma\_P2) appeared within the same cluster and 433 434 had more approximate values in the NMDS when compared to the V3-V4 dataset. There is some evidence that sociality affects microbes in the respiratory tract, which 435 seems to be the case of the SFPW sampled in the present work. Cetaceans exhibit 436 437 behaviours, such as surfacing and breathing near each other, or feeding cooperatively, 438 which could facilitate the transfer of microbes and the spread of pathogens between individuals (Bogomolni et al., 2008; Apprill et al., 2017). Such behaviours are common 439 440 in highly social and matrilineal species, such as the SFPW (Olson, 2018; Boran & 441 Heimlich, 2019), as demonstrated in the target population (Alves et al., 2013; Esteban 442 et al., 2022) to which the sampled animals belong. This process has been recognized 443 as an exclusive and important aspect of social living, providing health benefits to 444 animals. Access to associated microbes is hypothesised to be a driving force in the 445 evolution of sociality (Lombardo, 2008). Nevertheless, this hypothesis needs further 446 evidence, since the V3-V4 dataset does not corroborate these results, possibly not reflecting the true blow prokaryotic community composition. Vendl et al. (2020) 447 448 targeted solely the V4 region to study the microbiome in the blow of different whale 449 species and observed a species-specific clustering in the microbiome beta-diversity, also detecting a positive correlation between sociality and microbial diversity. 450 Additionally, as a future challenge, it may be relevant to analyse the microbial 451 452 composition in the breath of animals with different levels of residence at the site 453 studied.

454 455 The analysis of the blow core microbiome could provide useful features for the health monitoring of cetaceans worldwide. All samples from both datasets shared a main 456 457 core microbiota in their blow, composed of Actinobacteria, Bacteroidetes, Firmicutes, 458 and Proteobacteria phyla. Nevertheless, the dominant ASVs were not the same between the results obtained from the amplification of V3-V4 and V4-V5 regions. Lima 459 et al. (2012) described a temporal analysis of the blow in captive dolphins, suggesting 460 that microbial community composition in healthy animals is guite stable and that 461 462 individual dolphins harbour consistently unique microbial communities. Several studies provided preliminary evidence that cetaceans host a core group of bacteria 463 associated with the respiratory system (Johnson et al., 2009; Lima et al., 2012; Bik et 464 465 al., 2016; Apprill et al., 2017). Apprill et al. (2017) detected, on average, 25 sequence clusters that were found in 100% of humpback whales, accounting for 36% of the 466 microbiota, being one of the most extensive core microbiotas found in any mammal to 467 468 date. This large core microbiome was shared across individual whales from populations separated geographically into two ocean basins. Out of these core 469 470 microbes, 20 closest phylogenetic relatives have been previously found in other 471 cetaceans (normally in the mouths and blowholes of bottlenose dolphins), indicating that this core microbiome assemblage is unique to cetaceans and may denote a 472 473 healthy, non-infected, pulmonary system. Conversely, Vendl et al. (2019) found low 474 microbiota richness and a small core microbiome in humpback whales off the coast of Australia. Those sampled animals were migrating for four months, which is the period 475 476 of fasting; whereas the animals sampled in the study by Apprill et al. (2017) were at their foraging sites and early stages of migration. Vendl et al. (2019) hypothesised that 477 478 the lack of a core microbiome might be related to the animals' physiological state at 479 the sampling time. Also, none of the five core genera detected matched with any core 480 found in other studies that investigated cetacean blow (Johnson et al., 2009; Lima et 481 al., 2012; Apprill et al., 2017; Pirotta et al., 2017).

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483 In this study, at a phylum level, the most dominant taxa recovered from both datasets 484 was Proteobacteria. This aligns with previous studies of other species of cetaceans (Apprill et al., 2017; Pirotta et al., 2017; Centelleghe et al., 2018; Nelson et al., 2019; 485 Vendl et al., 2019; Atkinson, 2021) where this taxon is highly abundant. Lima et al. 486 487 (2012) showed that the aforementioned common phyla, here present in both datasets 488 (Proteobacteria, Actinobacteria, Bacteroidetes, and Firmicutes), plus the Fusobacteria and unclassified bacteria, represented 98% of the total community composition in 489 490 bottlenose dolphins. In the present work, Fusobacteria was also detected, but in lower abundance (<1% of the total sequence reads) in both datasets, contrasting with the 491 492 results from Nelson et al. (2019) where this taxon accounted for 7,2% of the total 493 community. The presence of Cyanobacteria, a seawater phylum, in blow samples is 494 not surprising, having been detected in previous studies of the cetacean blow 495 microbiota (Pirotta et al., 2017). The occurrence of prokaryotes common to the 496 microbiome present in the surface layers of seawater in blow samples is something that has already been reported, namely by Geoghegan et al. (2018) and Centelleghe 497 et al. (2020). In both studies, the authors demonstrate a lower abundance of common 498 prokaryotic taxa between the microbial composition of the blow and seawater, also 499 showing a clear distinction between them. Epsilonbacteraeota, a phylum with a 500 marked presence in the V3-V4 dataset, has been already identified as part of the core 501 502 microbiota of bottlenose dolphins' respiratory system (Lima et al., 2012). The phyla Eurvarchaeota, Dadabacteria, Kiritimatiellaeota, Acidobacteria, and Deinococcus-503 504 Thermus, in the present work only found in the V4-V5, were not reported in previous 505 studies investigating the blow microbiota of different cetacean species. The same occurs with Dependentiae in the V3-V4 dataset. Contrary, Planctomycetes, Chloroflexi 506 507 (Bik et al., 2016), and Spirochaetes (Lima et al., 2012; Bik et al., 2016; Vendl et al., 508 2021), here only found in the V4-V5 dataset, were described in other studies 509 concerning blow microbiota.

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511 Regarding the genera level, *Cutibacterium* (dominant in the V4-V5 dataset) is a typical 512 dominant microbial community in the nasal microbiota (Kumpitsch et al., 2019). The genus Prochlorococcus, detected in both datasets, is one of the most abundant 513 bacteria in the ocean (Zehr et al., 2017), and it is probably a seawater-associated 514 515 bacteria within the SFPW blow. Four of the most abundant genera detected in both datasets (Pseudomonas, Flavobacterium, Rhodococcus, and Sphingomonas) are 516 potential pathogenic genera within the blow microbiome, commonly related to different 517 518 diseases such as various pulmonary infections (Higgins, 2000; Venn-Watson et al., 2008; Venn-Watson et al., 2012; Apprill et al., 2017). The V4-V5 dataset detected 519 520 more diversity of the less abundant taxa in the microbiome composition of the blows. 521 therefore highlighting the value of this primer set to detect the "rare biosphere" in the blow. This can be especially relevant, considering the low represented taxa that can 522 523 potentially have a pathogenic role in causing respiratory diseases. In Nelson et al. 524 (2019), different genera with a potential pathogenic role were a less abundant taxa in the blow samples (Staphylococcus with 0.01% relative abundance of identified general 525 in blow samples; and Streptococcus with 0.09%). Identification of taxa with pathogenic 526 potential may therefore be of special relevance in the assessment of the health status 527 528 of cetacean populations, such as the SFPW targeted in this case study. Here, the 529 identification of various pathogenic potential genera across all samples provides an important insight of the vulnerability of this particular population to respiratory 530 531 diseases. Other health assessment methodologies such as nucleic acid-derived 532 indices have also recently been tested to study the ecophysiological traits of these 533 animals that commonly occur in the surroundings of the island of Madeira (Alves et al., 534 2020). In this cited study, the authors concluded that this SFPW population showed good ecophysiological conditions, although significantly lower when compared to other 535 536 species from the same study area, which could be due to interspecific variations and 537 not environmental conditions. Thus, the present blow microbiome work then provides 538 complementary information to evaluate health status of this marine mammal 539 population.

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541 Viral diseases, such as cetacean morbillivirus (CeMV) infection (Paramyxoviridae
542 family), and algal toxins, have been identified as the main causes of large-scale mass
543 mortality in cetaceans across different geographic areas (Van Bressem et al., 2014).
544 Previous studies reported that CeMV circulates in SFPW, sometimes without relevant
545 pathological changes acting as viral vectors for other other marine mammals in which

546 CeMV shows higher morbidity and mortality (Di Guardo & Mazzariol, 2016; Sierra et
547 al., 2016). Morbillivirus is thought to be transmitted through exhaled air (Groch et al.,
548 2021). In some areas, short-finned pilot whales associate frequently with bottlenose
549 dolphins, acting as a vector for inter-specific morbilliviruses infections (Olson, 2009).
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Regarding the comparison of the core microbiome between the different datasets 551 552 used, our results show that V4-V5 provides less variation in the data obtained from all the samples, with all the EBC microbiomes showing similarity between them. 553 554 Furthermore, it is clear that within the same differentiated cluster for this dataset, the 555 NMDS values for the sampled individuals who travelled together (Gma 2/Gma 3. Gma\_4/Gma\_5 and Gma\_7/Gma\_P1/Gma\_P2) are tendentiously close. This 556 557 reinforces the idea of the probable and important influence of sociality in the 558 microbiome composition, similarly to what was inferred in the beta-diversity analysis. Therefore, our results suggest that the V4-V5 dataset could be more effective in 559 560 determining the core microbiome present in the respiratory tract of free-ranging SFPW. 561

## 562 Conclusion

563 The comparison between the primers sets showed that all samples from both datasets (V3-V4 and V4-V5) shared the main taxa, being composed of Actinobacteria, 564 565 Bacteroidetes, Firmicutes, and Proteobacteria phyla. In this study, it was also provided a detailed characterization of the microbial richness present in the blow of SFPW 566 567 across multiple taxonomic levels. Following this work's results, it is concluded that the 568 selection of the primer set to use for the assessment of microbiomes in cetacean blow samples should depend mainly on the goal of the analyses. If the main goal is to 569 570 capture more diversity present in higher relative abundance, the V3-V4 primer set is 571 demonstrated to have a better performance; whereas if the purpose is to gather more information in form of unique ASVs and to identify the microbial rare biosphere, we 572 propose the use of the primer set targeting the hypervariable regions V4-V5. Indeed, 573 574 despite the V4-V5 dataset detected a higher number of unique ASVs and taxa, the majority of them had a relative abundance <1%. The V4-V5 dataset showed better 575 results regarding the determination of the core microbiome in the blow samples used. 576 Additionally, this work provides further proof of sociality being impactful for the 577 578 microbiome composition of the respiratory tract of cetaceans' species.

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580 Nevertheless, several other aspects require consideration and future development to 581 further advance the blow microbiome as a health monitoring tool for cetaceans. 582 Besides optimisation of the sampling and processing protocols, it is also relevant to 583 test different methodologies in order to enhance sequencing efficiency and 584 downstream procedures. Moreover, crossing this type of data with photogrammetry 585 datasets for assessment of body condition is of relevance to properly infer about the 586 pathogenic potential of these microbial communities in cetacean species.

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In conclusion, this work acts as an important first step for a proper understanding of the microbiome existent in the respiratory tract of free-ranging cetaceans. Finally, this study underpins the utility of the EBC microbiome as a future biomarker of health status and physiological state of the airways in free-ranging cetaceans.

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## 828 Supplementary material

829 Supplementary Table 1 - Metadata of the blow samples collected and analysed: 830 Sample ID, sampling date, geographical coordinates (DD), behaviour, age class, 831 number of individuals sampled (1/pool) and residency pattern. Residency pattern was 832 confirmed Photo-ID, comparing with (OOM/MARE-ARDITI) Madeira's via photographic-ID catalogue of the species following Alves et al. (2020): Resident -833 834 individuals that exhibited multiyear and year-round site fidelity, i.e. photographed in at least 4 years and in all 4 seasons; Visitor - individuals that exhibited multi-year but 835 seasonal specific presence between July and December (i.e., covering 2 following 836 837 seasons): Extended Visitor - individuals that exhibited multi-vear but seasonal specific presence between July and March (i.e., covering 3 following seasons); Transient -838 839 individuals photographed once (i.e. not previously catalogued) and not mixed with 840 catalogued.

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Sample ID	Sampling date	Latitude (DD)	Longitude (DD)	Natural behaviour	Class age	Number of individuals sampled	Residency pattern
gma_01a *	28/9/2018	32.5940 6667	- 16.969516 67	Travelling	Adult	1	Visitor
gma_01b *	28/9/2018	32.5940 6667	- 16.969516 67	Travelling	Adult	1	Visitor
gma_02	29/9/2018	32.5902 3333	- 16.905416 67	Travelling	Adult	1	Resident
gma_03	29/9/2018	32.5902 3333	- 16.905416 67	Travelling	Adult	1	Resident
gma_04	2/10/2018	32.6108 8333	-17.06	Travelling	Adult	1	Extended Visitor
gma_05	2/10/2018	32.6108 8333	-17.06	Travelling	Adult	1	Extended Visitor
gma_06	5/10/2018	32.5975 3333	- 16.698016 67	Travelling	Adult	1	Resident
gma_07a *	6/10/2018	32.5484 8333	- 16.875316 67	Travelling	Adult	1	Transient
gma_07b *	6/10/2018	32.5484 8333	- 16.875316 67	Travelling	Adult	1	Transient
gma_P1 **	6/10/2018	32.5484 8333	- 16.875316 67	Travelling	Adult	Pool	Visitor
gma_P2 **	6/10/2018	32.5484 8333	- 16.875316 67	Travelling	Adult	Pool	Visitor
gma_P3 **	10/10/201 8	32.5875 3333	-16.98825	Travelling	Adult	Pool	Resident

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Supplementary Table 2 - Output of a Wilcoxon Signed-Rank Test, comparing the 846 indexes of the short-finned pilot whale blow community richness obtained with two 847 different primer sets (V3-V4 and V4-V5). 848

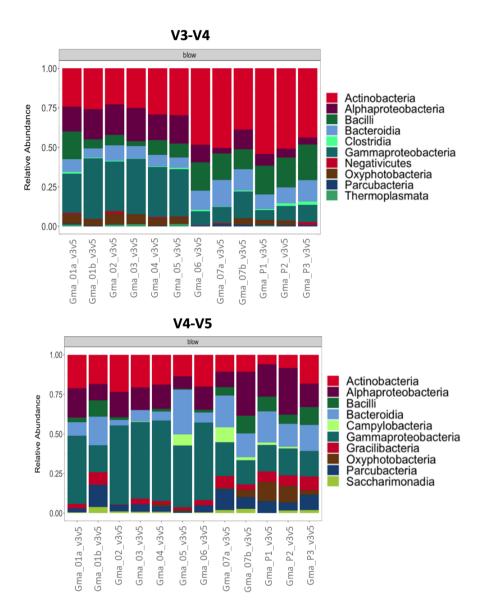
<sup>\*</sup> Samples gma\_01a and gma\_01b correspond to the same individual, as samples gma\_07a and gma\_07b; \*\* Samples gma\_P1, gma\_P2, gma\_P3 were collected from a pool of individuals within the same group 843 844

	Index	group1	group 2	n1	n2	statistic	р	p.signif
Blo - w _	Observed		V4-V5	12	12	33	0.0262	*
	Chao1							
	Shannon	V3-V4				91.5	0.273	ns
	Inverse						0.0068	
	Simpson					118	1	**

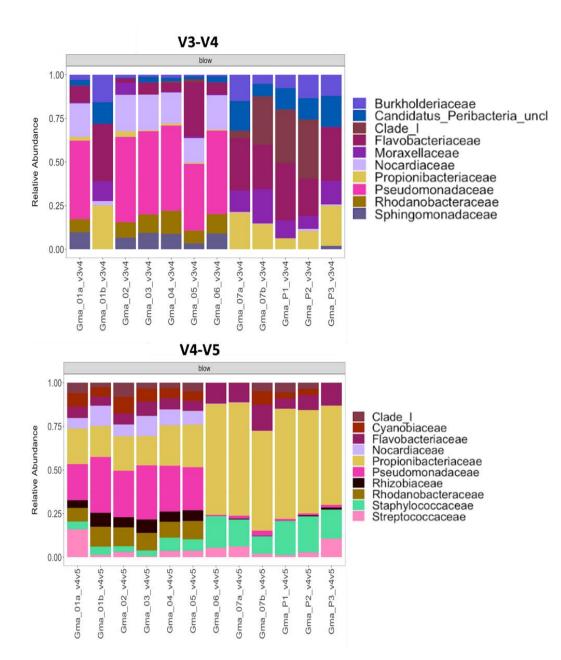
850 Supplementary Table 3 - Output of the PERMANOVA analysis (Df=degrees of 851 freedom, SS=sum of squares, R2= coefficient of determination, PR(>F)=p-value) to 852 test for the influence of the primer sets (V3-V4 and V4-V5) on the distribution of 853 prokaryotic communities of the blows of short-finned pilot whales.

	Df	SS	R <sup>2</sup>	F	Pr(>F)
Primer_se	t 1	0,38948	0,24864	7,2802	0,006
Residual	22	1,17697	0,75136		
Total	23	1,56645	1		

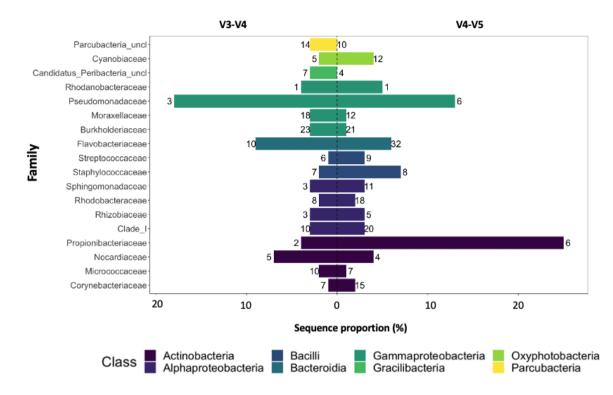
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Supplementary Figure 1 - Relative abundance of prokaryotic top 10 classes identified
across the SFPW blow samples, by V3-V4 (on the top) and V4-V5 (on the bottom)
datasets.



Supplementary Figure 2 - Relative abundance of prokaryotic top 10 families identified
across the SFPW blow samples, by V3-V4 (on the top) and V4-V5 (on the bottom)
datasets.



Supplementary Figure 3 - Major taxonomic families obtained for the short-finned pilot whale blow samples (in V3–V4 and V4–V5 datasets). The total sequence proportion of each family in the V3–V4 (left side) and V4–V5 (right side) datasets is represented in the x-axis. The numbers in each column represent the number of observed ASVs affiliated with each taxonomic family. Colour coding represents the different taxonomic classes. Only families that comprised at least 1% of sequences, in at least one of the datasets, were included in the figure.